

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 06506/HG
INTERNATIONAL APPLICATION NO. PCT/JP2004/002124	INTERNATIONAL FILING DATE 24 February 2004	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 107590342
PRIORITY DATE CLAIMED		
TITLE OF INVENTION ANTICANCER AGENT CONTAINING BL-ANGIOSTATIN		
APPLICANT(S) FOR DO/EO/US Keiji HASUMI; Kosuke SHIMIZU; Tetsuro YAMAMOTO		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. A preliminary amendment.

14. An Application Data Sheet under 37 CFR 1.76.

15. A substitute specification.

16. A power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).

20. Other items or information:

(i) Copy of PCT REQUEST FOR PCT/JP2004/002124

(ii) Copy of Forms PCT/IB/301 and PCT/ISA/210 for PCT/JP2004/002124

(iii) Copy of Forms PCT/IB/308 (first notice and second or supplemental notice) for PCT/JP2004/002124

(iv) Drawings (sheets 1 to 5)

(v) REQUEST FOR PUBL. OF ASSIGNMENT INFORMATION

(vi) Copy of WO 2005/079835 (publ. Appl. of PCT/JP2004/002124)

Express Mail Label No. EV 902 109 138 US

DATE OF DEPOSIT: AUGUST 24, 2006

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Dorothy DeFrancesco

Dorothy DeFrancesco

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/590342		INTERNATIONAL APPLICATION NO. PCT/JP2004/002124		ATTORNEY'S DOCKET NUMBER 06506/HG	
The following fees have been submitted					
21. <input checked="" type="checkbox"/> Basic national fee.....		\$300		CALCULATIONS PTO USE ONLY	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....		\$0 \$200		\$ 200.00	
All other situations.....					
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....		\$100			
International Search Report prepared and provided to the Office.....		\$400			
All other situations.....		\$500		\$ 400.00	
TOTAL OF 21, 22 and 23 =					
\$ 900.00					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					
\$.00					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	19 - 20 =	-	x \$ 50	\$.00	
Independent claims	2 - 3 =	-	x \$200	\$.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	
TOTAL OF ABOVE CALCULATIONS =					
\$ 900.00					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					
SUBTOTAL =					
\$ 900.00					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					
+ \$.00					
TOTAL NATIONAL FEE =					
\$ 900.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					
+ \$ 40.00					
TOTAL FEES ENCLOSED =					
\$ 940.00					
<input type="checkbox"/> Amount to be refunded: \$.00					
<input type="checkbox"/> Amount to be charged: \$.00					
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
CUSTOMER NO. 01933					
<p>Richard S. Barth Frishauft, Holtz, Goodman & Chick P.C. 220 5TH AVE FL 16 NEW YORK NY 10001-7708</p> <p><u>AUGUST 24, 2006</u></p>					
 <p>SIGNATURE</p> <p>Richard Barth</p> <p>NAME</p> <p>28,180</p> <p>REGISTRATION NUMBER</p>					

10/590342

IAP12 Rec'd PCT/PTO 24 AUG 2006

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Serial No. : To be assigned
(U.S. national phase of
PCT/JP2004/002124)

Applicants : Keiji HASUMI et al.

Filed : Concomitantly Herewith

For : ANTICANCER AGENT CONTAINING
BL-ANGIOSTATIN

Docket No. : 06506/HG

Customer No.: 01933

**REQUEST FOR PUBLICATION OF
ASSIGNMENT INFORMATION**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ATTENTION: MAIL STOP PCT

S I R :

It is requested that the following assignment information be published as part of the 18-month publication of the present application:

TTC CO., LTD.
20-2, Ebisunishi 1-chome, Shibuya-ku,
Tokyo 150-0021 Japan

Respectfully submitted,



Richard S. Barth
REG. NO. 28,180

FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.
220 FIFTH AVENUE - 16TH FLOOR
NEW YORK, NEW YORK 10001-7708
Tel. Nos. (212) 319-4900
(212) 319-4551/Ext. 219
Fax No. (212) 319-5101
E-Mail Address: BARTH@FHGC-LAW.COM
RSB/ddf

Express Mail Mailing Label
No.: EV 902 109 138 US
Date of Deposit: August 24, 2006
I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450


Dorothy DeFrancesco

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by a Form PTO 2038 attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

Please type a plus sign (+) inside this box → [+]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**CHANGE OF
CORRESPONDENCE ADDRESS**
Application

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number

To be assigned
(U.S. National
Phase of
PCT/JP2004/002124)

Filing Date

Concomitantly
Herewith

First Named
Inventor

Keiji HASUMI

Group Art Unit

Examiner Name

Attorney Docket Number 06506/HG

Please change the Correspondence Address for the above-identified application to:

Customer Number [01933] →

Type Customer Number here

OR

[] Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

Applicant/Inventor.

Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

Attorney or Agent of record.

Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed

Name

RICHARD S. BARTH - REG. NO. 28,180

Signature

Date

August 24, 2006

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

Total of 1 form is submitted.